

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Norikazu ARAI et al.) Group Art Unit: Unassigned
)
Continuation of Application) Examiner: Unassigned
No. 09/487,928 filed January 20, 2000)
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Filed: Herewith)
)
For: OPTICAL PICKUP APPARATUS,)
RECORDING/REPRODUCING)
APPARATUS PROVIDED WITH)
THE OPTICAL PICKUP)
APPARATUS, OPTICAL)
ELEMENT, AND INFORMATION)
RECORDING/REPRODUCING)
METHOD)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

PRELIMINARY AMENDMENT

Prior to the examination of the above application, please amend this application
as follows:

IN THE CLAIMS:

Please cancel claims 1-136 and add new claims 137-147, as follows:

137. An optical pickup apparatus for recording and/or reproducing information
for an optical disk provided with a transparent substrate, comprising: